

IN THE UNITED STATES PATENT AND TRADEMARK OF

Art Unit: 1632

Washington, D.C.

Examiner: CHEN, Shin Lin

Date: November 7, 2002

Atty.'s Docket: JENSENIUS=3A

NOV 1 2 2002

TECH CENTER 1600/2900

In re Appl Spation of: JENSENIUS, et al.

69 AU 09/874, 198 June 4, 2001

For: MASP-2, A COMPLEMENT FIXING ENZYME, AND USES FOR IT

Confirmation No.: 2556

THE COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Transmitted herewith is an [] Amendment [XX] <u>Election with Traverse and Third Preliminary Amendment</u> in the above-identified application.

[] Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

Small entity status of this application under 37 CFR 1.9 and 1.27 has been previously asserted.

A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.

No additional fee is required. []

The fee has been calculated as shown below:

| (Col. 1) | | | (Col. 2) | (Col. 3) | Small Entity | | Other Than a Small Entity | |
|--|---|-------|---------------------------------------|------------------|--------------|-------------------|---------------------------|-------------------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Additional Fee | Rate | Additional Fee |
| Total | 48 | Minus | 20 | 28 | x 9 | \$252.00 | x18 | \$ |
| Indep. | 6 | Minus | 3 | 3 | x42 | \$126.00 | ×84 | \$ |
| First Presentation of Multiple Dependent Claim | | | | | 140 | ş | +280 | \$ |
| TOTAL ADDITIONAL CLAIMS FEE | | | | | | \$378.00 | Total | \$ |

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest Number Previously Paid For" (total or independent) is the highest number found from the equivalent box in Col.1 of a prior amendment of the number of claims originally filed.

[XX] Conditional Petition for Extension of Time

If any extension of time for a response is required applicant requests that this be considered a petition therefor.

It is hereby petitioned for an extension of time in accordance with 37 CFR 1.136(a). The appropriate fee required by 37 CFR 1.17 is calculated as shown below:

Small Entity Response Filed Within [] First Second

Fourth

- \$ 55.00 \$200.00 Third \$460.00 \$720.00

- \$980.00 Fifth

Other Than Small Entity Response Filed Within

[] First - \$ 110.00 Second - \$ 400.00 1

Third - \$ 920.00 Fourth - \$1440.00 ſ 1 - \$1960.00 -1 Fifth

[] Less fees (\$) already paid for months extension of time on

[] Please charge my Deposit Account No. 02-4035 in the amount of \$_ _. A duplicate copy of this sheet

[] A check in the amount of \$___ _____ is attached (check no.

[XX] Credit Card Payment Form, PTO-2038, authorizing payment in the amount of \$378.00 is attached.

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR Section 1.16 and all patent processing fees under 37 CFR Section 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR Section 1.18.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JENSENIUS, et al.

Serial No.: 09/874,198

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ELECTION WITH TRAVERSE

#10

Commissioner of Patents Washington, D.C. 20231

Sir:

In response to the restriction requirement mailed October 7, Applicants elect group I with traverse.

The sole claim of group II (28) is dependent on claim 1 of group I. Since that claim is allowable, claim 28 should be rejoined pursuant to MPEP \$821.04.

It is noted for the record that on even date herewith, Applicants filed a third preliminary amendment which amends claims 4-6 and 8-11, and adds claims 40-56. All of the new claims are polypeptide claims and hence should be grouped into elected group I.

Respectfully submitted,

11/08/2002 MBIZUNES 00000084 09874198

01 FC:2202 02 FC:2201 252.00 OP 126.00 OP BROWDY AND NEIMARK, P.L.L.C.

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THIRD PRELIMINARY AMENDMENT

#116 3da 11-12-08

Commissioner of Patents Washington, D.C. 20231

Sir:

Prior to action on the merits, please enter the following amendments and remarks:

IN THE CLAIMS

Please rewrite claims 4, 5, 6, and 8-11 to read as follows:

4 (amended). A polypeptide comprising the sequence identified as SEQ ID NO:1.

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- $\,$ 5 (amended). The polypeptide according to claim 4 with a molecular mass of about 20k.
- 6 (amended). The polypeptide with a molecular mass of about 52K and comprising the sequence identified as SEQ ID NO:1.



- 8 (amended). The polypeptide of claim 1, said polypeptide being capable of MASP-2 activity in an in vitro assay for MBLectin complement pathway function.
- 9 (amended). The polypeptide according to claim 1, said polypeptide being capable of competitively inhibiting MASP-2 serine protease activity.
- 10 (amended). The polypeptide according to claim 1 comprising a fragment of the polypeptide of SEQ ID NO:2, said polypeptide being a competitive inhibitor of complexing of MBL/MASP-2.
- 11 (amended). The polypeptide according to claim 5 or claim 6, said polypeptide having the amino acid sequence of SEQ ID